SVSU. BOARD OF CONTROL MINUTES

AUGUST 22, 2005



SAGINAW VALLEY STATE UNIVERSITY BOARD OF CONTROL AUGUST 22, 2005 REGULAR FORMAL SESSION INDEX OF ACTIONS

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MINUTES BOARD OF CONTROL Regular Formal Session August 22, 2005 Board of Control Room, Third Floor Wickes Hall

Present:	Braun Cotter Gamez Gilbertson Karu Sedrowski Sims Yantz
Absent:	Law
Others Present:	D. Bachand L. Beuthin J. Boehm C. Davis J. Dwyer B. Gorden E. Graham E. Hammerbacher L. Lipinski R. Maurovich N. Madaj J. Muladore J. Pahl J. Poppe C. Ramet D. Rayfield B. Schafer R. Schneider M. Shannon C. Snook J. Stanley A. Suszek R. Thompson M. Thorns R. Trepkowski C. Weaver D. Weaver R. Williams R. Yien Press (2)

I. CALL TO ORDER

Chairperson Yantz called the meeting to order at 1:30 p.m.

II. PROCEDURAL ITEMS

A. Approval of Agenda and Additions to and Deletions from Agenda

There were no additions to or deletions from the agenda.

The agenda was APPROVED as distributed.

B. Approval of Minutes of July 15, 2005 Regular Formal Session of the Board of Control

It was moved and supported that the minutes of the July 15, 2005 Regular Formal Session of the Board of Control be approved.

The minutes were unanimously APPROVED as written.

C. Recognition of the Official Representative of the Faculty Association

Professor Marcia Shannon, President of the Faculty Association, told the Board that Dr. Sally Edgerton-Netke, esteemed and dedicated member of the SVSU faculty, had lost her long battle with cancer. She will be greatly missed.

Professor Shannon discussed the collective bargaining process currently underway between the administration and the Faculty Association. She also discussed the resolution to amend the SVSU patent policy. She noted that the faculty were eager to talk with the members of the Board of Control about these or any other matters.

D. Communications and Requests to Appear Before the Board

Jennifer Pahl, Staff Member of the Month for May; Craig Snook, Staff Member of the Month

for July; and Cathy Davis, Staff Member of the Month for September, were presented to the Board.

(See Appendix One: Pahl, Appendix Two: Snook, and Appendix Three: Davis.)

- III. ACTION ITEMS
- 1. Resolution to Grant Emeritus Status to Mr. Eldon L. Graham

RES-1650 It was moved and supported that the following resolution be adopted:

WHEREAS, Saginaw Valley State University grants emeritus/emerita status to retiring faculty/administrators who have served the University with distinction; and

WHEREAS, Mr. Eldon L. Graham joined the faculty of Saginaw Valley State University in 1970 and was Associate Professor of Mechanical Engineering until his retirement in June 2005; and

WHEREAS, Mr. Graham is highly regarded by his colleagues and students for consistently maintaining high standards in the many Engineering and Engineering Technology Management classes he taught at SVSU; and

WHEREAS, Mr. Graham has published professional articles and a book on the history of academic programs at SVSU. He has been an active member of several professional societies, including the American Society of Engineering Education, the American Society for Advancement of Science, the American Society of Chemical Engineers, and the American Chemical Society, among others; and

WHEREAS, Mr. Eldon L. Graham, the founder of SVSU's engineering and technology programs and designer of Pioneer Hall, has also served as Director of Resources Development, Chair of the Department of Engineering and Technology, and Acting Assistant Dean of the College of Science, Engineering, and Technology; and

WHEREAS, Mr. Graham is known in the community for civic engagement and as a consultant for several agencies. He was honored for his outstanding record of service by receipt of the Ruben Daniels Award for Community Service, the Faculty Award for University Service, the Professional Development Award from the American Chemical Society, and the Service to Society Award from the American Institute of Chemical Engineers.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Control of Saginaw Valley State University gratefully acknowledges Mr. Graham's exemplary service and dedication to the University and bestows upon him the title of "Professor Emeritus" with all the rights and privileges appertaining to this distinction.

BE IT FURTHER RESOLVED, That a copy of this resolution be framed and presented to Mr. Eldon L. Graham as a permanent expression of the University's appreciation. Dr. Ronald R. Williams, Dean of the College of Science, Engineering and Technology, told

the Board that Mr. Graham was one of the most important individuals in the origination of

engineering at SVSU. He joined SVSU in 1970 and chaired the Engineering Technology Program

from 1973 to 1983. He also served as acting dean on three occasions.

The motion was APPROVED unanimously.

2. Resolution to Grant Emeritus Status to Dr. Berner J. Gorden

RES-1651 It was moved and supported that the following resolution be adopted:

WHEREAS, Saginaw Valley State University grants emeritus/emerita status to retiring faculty and administrators who have served the University with distinction; and

WHEREAS, Dr. Berner J. Gorden joined the faculty of Saginaw Valley State University in 1967 and was Professor of Chemistry until his retirement in June 2003; and

WHEREAS, Dr. Gorden is highly regarded by his colleagues and students for his high standards and dedication to teaching; from 1967 to 2003 he taught numerous Chemistry courses and was responsible for developing six new courses; and

WHEREAS, Dr. Gorden published professional articles, attended many professional conferences, and presented numerous papers. He received several grants for his research in Chemistry and has maintained professional affiliations with the American Chemical Society, the American Institute of Chemists, the Michigan Association of College Chemistry Teachers, among other organizations; and

WHEREAS, Dr. Gorden was a founding member of SVSU's Chemistry Department, serving as its first chair, writing the department's first curriculum, and procuring all the initial equipment and instrumentation. He continued to serve throughout his distinguished career on University and departmental committees and involved himself in student life through the Science Open House and as coach to the varsity bowling team.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Control of Saginaw Valley State University gratefully acknowledges Dr. Gorden's exemplary service and dedication to the University and bestows upon him the title of "Professor Emeritus" with all the rights and privileges appertaining to this distinction.

BE IT FURTHER RESOLVED, That a copy of this resolution be framed and presented to Dr. Berner J. Gorden as a permanent expression of the University's appreciation.

Dr. Williams told the Board that Dr. Gorden came to SVSU in 1967 and was the first chair

of the Chemistry Department. He was a prominent leader on campus, serving as chair of what eventually became the Professional Practices Committee (PPC), a University-wide committee that deals with promotion and tenure. He has also been very active in the chemical community in this area.

The motion was APPROVED unanimously.

3. Resolution to Grant Emeritus Status to Dr. David R. Weaver

RES-1652 It was moved and supported that the following resolution be adopted:

WHEREAS, Saginaw Valley State University grants emeritus/emerita status to retiring faculty and administrators who have served the University with distinction; and

WHEREAS, Dr. David R. Weaver joined the faculty at Saginaw Valley State University in 1967 and was Professor of Political Science until his retirement in June 2005. He founded the SVSU Department of Political Science and participated in the development of a Master's in Political Science/Criminal Justice program; and

WHEREAS, Dr. Weaver has maintained high academic standards and a commitment to his profession. He has taught a wide range of courses in his discipline, has published articles on organizational leadership, and has been active in numerous professional associations; and

WHEREAS, Dr. David R. Weaver has served on many University committees, as Chair of the Political Science Department, Acting Assistant Dean of the College of Arts & Behavioral Sciences, Director of General Education, and Acting Associate Vice President for Academic Affairs. He has also been active in a variety of local organizations, including service on the Board of Trustees of the Mid-Michigan Dispute Resolution Center.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Control of Saginaw Valley State University gratefully acknowledges Dr. Weaver's exemplary service and dedication to the University and bestows upon him the title "Professor Emeritus" with all the rights and privileges appertaining to this distinction.

BE IT FURTHER RESOLVED, That a copy of this resolution be framed and presented to Dr. David R. Weaver as a permanent expression of the University's appreciation.

Dr. Donald J. Bachand, Dean of the College of Arts and Behavioral Sciences, noted that Dr.

Weaver taught at SVSU for 38 years. He was the founder of both the Political Science Department

and the Faculty Association. He served as assistant dean, assistant academic vice president, and director of the General Education Committee. He was an accomplished scholar, a very rigorous classroom teacher, and a mentor to many students over the years.

The motion was APPROVED unanimously.

4. Resolution to Grant Emeritus Status to Dr. David L. Rayfield

RES-1653 It was moved and supported that the following resolution be adopted:

WHEREAS, Saginaw Valley State University grants emeritus/emerita status to retiring faculty and administrators who have served the University with distinction; and

WHEREAS, Dr. David L. Rayfield joined the faculty at Saginaw Valley State University in 1970, becoming Chair of the Department of Philosophy that same year and serving in that capacity and as Professor of Philosophy until his retirement in June 2005; and

WHEREAS, Dr. Rayfield's academic standards in the classroom have been consistently rigorous with extensive attention to developing quality student writing and to providing the highest quality education in Philosophy. He has been active professionally throughout his distinguished career as a member of scholarly societies, editor of the *Bulletin of Faculty Research* from 1981 until his retirement, and participant on numerous faculty evaluation teams; and

WHEREAS, Dr. David Rayfield is respected by the arts community as an accomplished professional photographer whose works are in the permanent collections of the Art Institute of Chicago, and the Hoyt Institute of Fine Arts in New Castle, Pennsylvania, among others. He has accumulated a prodigious record of artistic exhibitions in galleries, museums, and juried art competitions, and has published many scholarly articles on the art of photography.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Control of Saginaw Valley State University gratefully acknowledges Dr. Rayfield's exemplary service and dedication to the University and bestows upon him the title "Professor Emeritus" with all the rights and privileges appertaining to this distinction.

BE IT FURTHER RESOLVED, That a copy of this resolution be framed and presented to Dr. David Rayfield as a permanent expression of the University's appreciation.

Dr. Bachand told the Board that Dr. Rayfield taught at SVSU for 34 years. He was the

founder of the Philosophy Department and was the chair of that Department for all 34 years. Besides

being an accomplished teacher, Dr. Rayfield is a professional photographer whose work is currently

being displayed in the University Art Gallery.

The motion was APPROVED unanimously.

5. Resolution to Grant Baccalaureate and Masters Degrees

RES-1654 It was moved and supported that the following resolution be adopted:

WHEREAS, Saginaw Valley State University is granted the authority to confer undergraduate and graduate degrees as outlined in Section 5 of Public and Local Acts of Michigan 1965; and

WHEREAS, Operating Policy 3.101 Article III of the Board reserves to the Board the authority to grant degrees;

NOW, THEREFORE, BE IT RESOLVED, That the Board of Control of Saginaw Valley State University approve the awarding of undergraduate and graduate degrees at the August 22, 2005 Commencement as certified by the faculty and Registrar.

The motion was APPROVED unanimously.

6. Resolution to Approve New Members and Reappointments to the Saginaw Valley State University Board of Fellows

RES-1655 It was moved and supported that the following resolution be adopted:

WHEREAS, The Saginaw Valley State University Board of Fellows shall consist of a minimum of twelve and a maximum of forty members who shall serve for four-year terms; and

WHEREAS, Terrance E. Lerash and Fleury Yelvington have been recommended for appointment as new members; and

WHEREAS, William R. Bowen, Scott L. Carmona, David H. Dunn, Mark H. Gettel, Tom McIntyre, Amy L. Rodriguez, Michael D. Rowley, and Kenneth G. Roznowski have been recommended for reappointment to the Board of Fellows;

NOW, THEREFORE, BE IT RESOLVED, That the new members and the reappointed members serve on the Saginaw Valley State University Board of Fellows as stipulated in the organization's bylaws.

President Gilbertson noted that the individuals being recommended for reappointment to the

Board of Fellows were known by the Board of Control. Terrance Lerash, CEO of Synergy Medical

and an alumnus of SVSU, and Fleury Yelvington, the new President/CEO of St. Mary's of Michigan,

are being recommended for appointment as new members.

The motion was APPROVED unanimously.

 Resolution to Approve Reappointments of Dr. Donald Bachand, Ms. Lucille Beuthin, Mr. Carl Fredericks, Dr. Chris Fredericks, Ms. Barbara Heller, Ms. Mino Duffy Kramer, and Mrs. Sue Vititoe and the Appointments of Mrs. Glenda Labadie, Mr. Gary Labadie and John Henry III to the Marshall M. Fredericks Sculpture Museum Board

RES-1656 It was moved and supported that the following resolution be adopted:

WHEREAS, The Marshall M. Fredericks Sculpture Museum Board is comprised of qualified individuals who have the responsibility of advising the President and the University Board of Control regarding major decisions of the Museum; and

WHEREAS Dr. Donald Bachand, Ms. Lucille Beuthin, Mr. Carl Fredericks, Dr. Chris Fredericks, Ms. Barbara Heller, Ms. Mino Duffy Kramer, and Mrs. Sue Vititoe have successfully completed three-year terms; and

WHEREAS, The Museum Board recommends Dr. Donald Bachand, Ms. Lucille Beuthin, Mr. Carl Fredericks, Dr. Chris Fredericks, Ms. Barbara Heller, Ms. Mino Duffy Kramer, and Mrs. Sue Vititoe be reappointed for three-year terms which expire June 2008; and

WHEREAS, Members of the Museum Board recommend that Mr. and Mrs. Gary Labadie and Dr. John Henry be appointed for three-year terms;

NOW, THEREFORE, BE IT RESOLVED, That the reappointed members and the new members serve on the Marshall M. Fredericks Sculpture Museum Board as stipulated in the organization's bylaws.

President Gilbertson stated that he was proud to recommend those named in Resolution 1656

for reappointment/appointment to the Museum Board.

The motion was APPROVED unanimously.

8. Resolution to Approve the Addition of Grades First Through Fifth to Detroit Community High School

RES-1657 It was moved and supported that the following resolution be adopted:

WHEREAS, The Saginaw Valley State University Board of Control has approved the charter school application for grades K and 6-12 for Detroit Community High School; and

WHEREAS, The Detroit Community High School must currently reject the application of first through fifth grade students; and

WHEREAS, The current students being served by Detroit Community High School and their parents have requested the opportunity to offer additional educational opportunities; and

WHEREAS, The Detroit Community High School has a desire to provide education service for grade levels K-12:

NOW, THEREFORE, BE IT RESOLVED, That the Saginaw Valley State University Board of Control approve the application and program amendment providing for the addition of first through fifth grade students.

Mr. Ronald G. Schneider, Director of School & University Partnerships, told the Board that

the Detroit Community High School is located in northwest Detroit. They have been operating as

a Kindergarten and 9-12 school, and would like to open an elementary school this fall.

The motion was APPROVED unanimously.

9. Resolution to Extend Electric Sales Agreement

RES-1658 It was moved and supported that the following resolution be adopted:

WHEREAS, The Board of Control in August, 2003 authorized an Electric Sales Agreement with Wolverine Power Marketing Cooperative (WPMC) for the period of September 1, 2003 through December 31, 2005; and,

WHEREAS, The Board of Control subsequently extended this contract to December 31, 2006 with the same terms and conditions; and,

WHEREAS, WPMC is offering the possibility of a further contract extension for SVSU should favorable market conditions develop;

NOW, THEREFORE, BE IT RESOLVED, That the President or Vice President for Administration and Business Affairs be authorized to extend the present Electric Sales

Agreement with WPMC to December 31, 2008.

James G. Muladore, Vice President for Administration & Business Affairs, told the Board that the University is faced with a very volatile utility market. The cost of natural gas has increased by 90% in the past 13 months, and by 30% in the past few weeks. Electricity is the other major component of the utility budget. The University currently has a contract through December 2006 at a favorable rate with Wolverine Power Marketing Cooperative (WPMC), but may be faced with significant increases in electricity costs when that contract is scheduled to expire.

Resolution 1658 would authorize the extension of the contract with WPMC for two more years should favorable terms become available.

The motion was APPROVED by a vote of 5 to 0. (Trustees Cotter and Sims abstained from

voting due to a possible conflict of interest.)

10. Resolution to Amend SVSU Patent Policy

RES-1659 It was moved and supported that the following resolution be adopted:

WHEREAS, University officials have reviewed the current Patent Policy which was implemented on June 13, 1988; and,

WHEREAS, It is the intent of the University to encourage its students to engage in research and entrepreneurial activities by providing them ownership opportunities for their inventions;

NOW, THEREFORE, BE IT RESOLVED, That the attached Patent Policy as amended be approved. (See Appendix Four: Patent Policy.)

President Gilbertson told the Board that the proposed amendment to the patent policy is a minor modification of a policy that has been unchanged for 14 years. The sole revision pertains to potential student-generated ideas, and is intended to give incentives to students to become

entrepreneurs and to take their ideas to the market place. It would in no way affect employee- or faculty-generated patents.

The motion was APPROVED unanimously.

IV. INFORMATION AND DISCUSSION ITEMS

11. SVSU in the News

Jan Poppe, Assistant to the Vice President for Public Affairs, discussed the University's integrated communications plan, which consists of graphics standards, branding, and advertising. She noted that media relations is a fourth component of that plan.

J. J. Boehm, Media Relations Officer, reviewed several articles about SVSU that had appeared in the three major local daily newspapers over the past few months. He noted that the University tries to emphasize the personal attention it offers, as well as the accomplishments of our students and faculty. SVSU's students are increasingly coming from outside the four-county region, where it has traditionally received the bulk of its students. Concurrently, there has been increased coverage in the Genesee County, metro-Detroit, Flint, Grand Rapids, and Macomb County newspapers.

A media relations advisory panel has been formed to discuss University events and activities and to generate story ideas; Mr. Boehm has undertaken a project to create an on-line searchable database for reporters to use to identify faculty and their various areas of expertise.

12. Report on Appointment of Charter School Board Members

Mr. Schneider discussed the process by which Charter School board members are chosen.

He reviewed the Board Candidate Application Form, which asks for general information about the individuals and includes a series of questions regarding possible conflicts of interest and past criminal history. Résumés are requested, as is permission to conduct a criminal records check on the candidates. References are checked, and people from the local area are consulted.

After the board members are selected, Mr. Schneider's staff convenes the first annual meeting, at which time officers are elected and a training session is conducted. These training sessions continue through the year as needed by the individual boards.

Mr. Schneider and/or his staff meet in Lansing on a monthly basis with staff from eight other major universities who authorize charter schools, with area Legislators, and individuals from the Department of Education. They share information and have established standards they all adhere to in terms of authorization, re-authorization, and personnel evaluations.

President Gilbertson noted that the Charter School board members serve at the pleasure of the Board of Control, which has the power of removal.

13. Campus Safety Initiatives

Chief Ron Trepkowski told the Board that SVSU's campus has a very low crime rate, especially when compared to other universities. He noted that the level of crime had been expected to increase as the residential population grew, but it hasn't. That can be attributed partly to the quality of students attending SVSU, and partly to the fact that Chief Trepkowski and his Department continually offer awareness training and healthy-choice training to educate students on how not to become victims of crime. Other departments, such as Residential Life, the Athletic Department, and

the Conference and Events Center, connect with the University Police almost on a daily basis to help keep the campus safe. They also hold students accountable for small infractions, thereby making them aware that they will be held accountable for larger ones.

V. REMARKS BY THE PRESIDENT

President Gilbertson asked Dr. Robert Maurovich, Vice President for Student Services and Enrollment Management, to update the Board on enrollments. Dr. Maurovich stated that enrollments for the fall 2005 semester were projected to exceed last year's in both headcount and credit hours.

Dr. Robert S.P. Yien, Vice President for Academic Affairs, told the Board that the University would employ a total of 260 full-time faculty members for the 2005-2006 academic year. Thirty-four of these have recently been hired – they are coming here from 16 different states and two foreign countries.

Emily Hammerbacher, President of the Student Association, reviewed a number of events being sponsored by the Student Association.

- VI. OTHER ITEMS FOR CONSIDERATION OR ACTION
- 14. Motion to Move to Informal Session to Discuss Collective Bargaining

BM-1024 It was moved and supported that the Board move to Informal Session to discuss collective bargaining.

The motion was APPROVED unanimously.

The Board moved to Informal Session at 3:00 p.m. and reconvened in Regular Formal Session at 3:50 p.m.

VII. ADJOURNMENT

15. Motion to Adjourn

BM-1025 It was moved and supported that the meeting be adjourned.

The motion was APPROVED unanimously.

The meeting was adjourned at 3:51 p.m.

Respectfully submitted:

Jerome L. Yantz Chair

K.P. Karunakaran Secretary

tanle Stanley

Recording Secretary Secretary to the Board of Control



STAFF MEMBER OF THE MONTH

Jennifer Pahl

Associate Director of Admissions • May 2005

ennifer Pahl keeps a valuable reference on the back side of her office door: a map of Saginaw County. As the associate director of Admissions, she travels the county's highways and byways visiting high schools and seeking to recruit students to SVSU.

"Incoming freshmen are our bread and butter." Pahl said. Pahl has a distinct advantage when making her sales pitch

- she is familiar with the area and the decision facing students, having enrolled at SVSU herself after graduating from Frankenmuth High School.

Pahl believes her background helps her relate to high school students.

"They like to hear from a younger person who is from their area," she said.

That philosophy permeates the department, as all of the Admissions counselors are SVSU alumni.

"We have an awesome Admissions team; they make my job fun every day," Pahl said.

On the recruiting trail, Pahl finds SVSU to be an increasingly popular destination.



"More and more students see SVSU as their first choice," she said.

That list includes her husband, Josh, who left his job at The Dow Chemical Company to attend SVSU full time. He wants to earn an education degree and teach kindergarten.

As the days grow longer, so do Pahl's hours. She is the primary contact for potential transfer students.

"I used to think summers were never busy," she said, "but this is the peak season for transfers."

The Admissions Department seeks to add around 1,100 transfer students annually.

"For them, it's not as much about student life or campus activities," Pahl said. "They know what they want, so we talk curriculum and see how many of their credits will transfer."

Some of those students are familiar to Pahl, having considered SVSU coming out of high school.

"I make every effort to encourage students to choose higher education," she said. "A lot of them are the first in their family to go to college."

Pahl says the competition for prospective students begins early.

"The prime time to connect with students is during their freshman and sophomore years in high school," she said. "By their junior year, many of them are already making up their minds."

Pahl made up her mind to apply the management lessons she learned while earning her business degree and MBA. Her duties include overseeing the department's secretaries and student employees.

"Being a manager is something I love to do," she said. Pahl spends much of her leisure time attending the games and performances of her 13 nieces and nephews.

For her immediate family, Pahl planted red, white and purple petunias at her home in Zilwaukee. They were a "welcome home" sight for her father, Jim, a Navy reservist who returned after spending seven months in Spain on deployment.

To unwind, Pahl likes to curl up in a good book.

"When I read a good book, I can't stop – even if the house is in shambles," she joked.

When reading her future, Pahl sees herself in Cardinal colors for many years to come.

"I'm a Saginaw Valley person through and through."



STAFF MEMBER OF THE MONTH

Craig Snook

Visual Communication, Marketing and Licensing Specialist • July 2005

On occasion, you may hear country music playing on the radio in Craig Snook's office, but the sound of banjo strings are not what left the most lasting impression from his trip to Nashville last year. While attending the annual conference of the University and College Designers Association, Snook saw what his colleagues across the country were doing.

"I discovered that SVSU was one of the few Midwest colleges who weren't focused on branding an identity for their institution," he said.

The revelation rejuvenated Snook, SVSU's visual communication, marketing and licensing specialist.

A native of Saginaw – born the year SVSU was founded – Snook is acutely aware that not everyone realizes all the University has to offer.

"A lot of people still don't really know SVSU," he said. "It's our job to tell them."

When it comes to establishing and enhancing SVSU's identity, Snook stresses the importance of working together, not only with his colleagues in University Communications, but throughout the institution.

"In recruiting and fund-raising, it is extremely important to convey the mission of the University to the public so that they want to be a part of it," he said. "That is accomplished only by being consistent in the use of writing, images and design."

"Each department is part of the University," Snook continued. "That's what we should be promoting to the outside."

Snook describes the process of establishing graphic standards for SVSU's visual identity as "exciting and challenging," but also rewarding.

"It needs to be an institution-wide effort to let the region know the great things that are happening here," he said.

Snook is pleased with the success of one publication aimed at that objective. "This is SVSU" won a gold "Addin" award from the Advertising Federation of the Samaan Value

Snook's sons, Steven, 14, and Spencer, 11, have them introduced to SVSU as regular attendees of summer theatre camps.

When he is not designing one of the 80 to 100 pieces that " the Office of University Communications produces each month, Snook devotes at least one night per week to personal artistic expression. "It's relaxing," he said, "though if I get going I can be up until two in the morning."

Snook has Nashville to thank for his latest creative direction. While visiting a working museum, Hatch Show Prints, he became intrigued with the historical use of wooden type to produce quick, inexpensive concert posters.

Snook altered the idea to suit his own tastes by seeing the blocks as art forms rather than letters and numbers.

"It's a wonderful creative outlet to discover the relationship of letters without worrying about typos and dangling participles," he said.

Snook is also actively involved at St. John's Lutheran School in Saginaw, having served on the school board for eight years. The work provides another outlet for the artistic and communication skills he honed while earning his bachelor's and master's degrees at SVSU.

"I guess you could say promoting education is in my blood."



STAFF MEMBER OF THE MONTH

Cathy Davis

Senior Secretary, Scholarships and Financial Aid • September 2005

Cathy Davis can't stop smiling. That is a good thing, because her friendly face and pleasant demeanor are welcomed by the many students who visit or call SVSU's financial aid office.

"I'm a people person," she said.

During the month of July alone, the office logged more than 10,000 phone calls and had more than 1,600 students walk in.

"It's a very busy office," Davis said understatedly.

When she's not greeting a student or answering the phone, Davis spends much of her time processing data.

Davis estimates that she gets to meet around 80 percent of SVSU students at some point during their academic careers, and being in an environment where their finances are concerned, she gets to see students at their best and sometimes at their worst.

"Tensions can run high," she conceded, "but we try to calm them down."

Many misunderstandings are easily correctable, according to Davis.

"Students should make sure they sign their (financial aid) award letters," she said. "That's the number one oversight."

Davis appreciates her coworkers, especially in stressful situations.

"They're such a support group; it makes my job easier," she said.

Davis says one of the joys of her job is seeing students eager to learn.

"I see so much potential in the students at SVSU," she said. Davis can speak from firsthand observations.

Her sons, Mark, 26, and Scott, 24, are both Cardinal graduates, and her daughter-in-law, Brooke, is a current student.

"I guess you could say it has been a family affair," Davis said. "Both my sons had very positive experiences here."

Davis counts her supportive family among her biggest blessings, including her husband, Les.

Davis got quite a chuckle when she learned the name of her grandson.

"They named him Grant, which is ironic considering I work in financial aid," she said.

Aside from spending time with family, Davis enjoys reading and teaching Sunday school, but her number one activity to release stress is tending to her flower pots. Davis says one of the highlights of her job is when students return to say, "Thank you."

"That's what it's all about," she said.

Those episodes cause her to beam, but the smile is everpresent — with good reason. Every day, Davis is glad to come to work, because she remembers what it was like to be without a job.

After 28 years as a waitress, back problems forced Davis to seek a new occupation, but offers were not quick to come in. She worked odd jobs to try to make ends meet, and spent much of her time in prayer. Davis was beginning to lose hope when she got the call from SVSU five years ago.

"It's been such a good fit," she said. "I feel so blessed to be here."

Davis seeks to share that upbeat attitude with others, and she hopes students remember that the Office of Financial Aid is there to serve them.

"We're here to help them and assist them in reaching their goals."

With a smile.

8.2-1

SAGINAW VALLEY STATE UNIVERSITY PATENT POLICY

1.0 PREAMBLE

The basic mission of Saginaw Valley State University (SVSU) research is the pursuit and utilization of knowledge, including the discovery of new ideas and information, and the application of knowledge to fundamental areas of social concern. In particular, University research has served as a critical source of new scientific, technological and social ideas and concepts which underlie major advances in industry and the quality of life. The constant flow of University-generated research ideas to new uses in industry and society is an essential requirement for economic growth and social well being.

Saginaw Valley State University has established a Patent Policy to support and stimulate the rapid transfer of useful knowledge to new social and economic applications. The specific objectives of this Policy are:

- 1. To serve the best interest of society in general;
- 2. To serve the best interest of society within the State of Michigan;
- 3. To support and stimulate further research and invention;
- 4. To provide recognition and incentives for individual inventors;
- 5. To protect and balance the equitable rights of inventors, research sponsors, the University and the public;
- 6. To expedite technology transfer and the dissemination of useful knowledge.

Saginaw Valley State University Patent Policy is applicable to all full-time and part-time University employees, and to students and others who work on research projects, especially sponsored research, which might generate patentable inventions. Patents shall be subject to the ownership provisions set forth in Section 2. This Patent Policy applies only to patentable inventions. No inference in any form is to be made toward applying this Patent Policy to traditionally copyrightable materials or Copyright Policy currently in force.

2.0 OWNERSHIP RIGHTS

Saginaw Valley State University recognizes that research and invention frequently involve complex relationships among several parties, including individual employee inventors, individual student inventors, external research sponsors, and various units and departments of the University. This Section of University Patent Policy is designed to clarify the rights to ownership of patents among these several parties and to provide guidelines for determination of ownership under various types of research arrangements.

2.1 Saginaw Valley State University will have right of first refusal to hold title to all patentable inventions made by employee inventors affiliated with the University, if such inventions are made with support of University funds, facilities, or equipment. Title to patentable inventions made or developed under conditions described in this subsection shall be assigned to the University by the individual employee inventor(s). The University

may elect to waive its patent rights, following patent evaluation procedures established by the President. If the University elects to acquire title to an invention by assignment, the University will cover reasonable costs of the patent application, patent development, and related activities such as travel and attorney fees. Such costs will be a prior charge to any royalty income. Any decision by the University to either acquire or waive patent rights under this subsection must be rendered within six calendar months following receipt of the report of an invention. Acquisition, assignment, or waiver of patent rights under this subsection will be promptly reported to the Board of Control.

2.2 Inventions Made Without University Support – Employee Inventors

Patentable inventions will be owned by individual employee inventors if the patentable invention was made or developed: 1) without University support (including salary, funds, facilities, equipment or services not available to the general public); or 2) without the use of University funds (not including salary), facilities, equipment or services and outside the field of knowledge for which that individual is employed by the University; and 3) independent of any terms or conditions specified by a sponsored research or contractual agreement which provide for assignment of patent rights to an external organization (see Section 2.3). In general, patentable inventions made without University support, and thereby included in this subsection (2.1), must be initiated, and the work conducted and perfected while the inventor is outside normal University service, i.e., during an unpaid absence or unpaid summer periods. Under the aforementioned conditions, the inventor will report the invention to the University in accordance with the procedures established by the President. If an invention was made without University support, the inventor will inform the University of the invention, and of his/her intention to apply for a patent. The University shall review and made a determination of whether University support was used to develop the invention. If it is determined that University support was not used in the development of the invention, the University will inform the inventor in writing within sixty (60) working days following the report of the invention, that the University acknowledges the inventor's ownership of the invention. The University may require a statement that the invention was made without University support. In case of disagreement between the inventor and the University with regard to inventions described under the terms of this subsection (2.1), the dispute will be referred to the Patent Committee as provided for in Section 5.0. Ownership of patentable inventions by individual inventors under this subsection will be properly reported to the Board of Control.

2.3 Inventions Made By Student Inventors

Notwithstanding any other provisions of this policy except Sponsored Research (see section 2.4.1), ownership of any inventions made by a student while enrolled in any University program shall remain with the student. The University makes this exception based on the fact that the University wants to foster entrepreneurial conditions for the students in their college experiences.

2.4 Inventions Made Under the Terms of Sponsored Research Agreements and/or Contracts

In Public Law 96-517, "The Patent and Trademark Amendments of 1980," the federal government has given non-profit organizations and small businesses right of first refusal to title in inventions made in the performance of government grants and contracts with some limited exceptions. This act clearly sets forth, as the objective of Congress, the

utilization of the patent system "to effectuate the transfer of government-funded inventions to the public."

Although it will be the general policy of Saginaw Valley State University to hold title to all patents emerging from sponsored research programs which utilize University employees, University facilities, equipment, services and/or staff, provision will be made for the assignment of patent rights to private sector sponsors under certain conditions. In particular, industrial sponsors may request the assignment of patent rights to the sponsor as a precondition for support of basic or applied research at the University. The Saginaw Valley State University President may assign patent ownership rights to private sector research sponsors. If the University elects to assign patent rights, such assignments will be explicitly stated in the sponsored research agreement and/or contract which is established between the University and the private sponsor. When assigning patent rights to an external sponsor, the University President will ensure that University equity in privately sponsored research programs is fully protected. Such protection may include any or all of the following arrangements: (1) payment of an initial fee by the sponsor to the University in exchange for assignment of patent rights; (2) payment of a specified fee by the sponsor to the University for each patent emerging from the sponsored research program; (3) guarantee by the sponsor that the University will receive an equitable share of royalty income generated from patents which are assigned to the sponsor; and/or (4) requirement that the sponsor grant to the University royalty-free license for use of the patent.

2.4.1 As a condition to participation in a sponsored research project where ownership of intellectual property rights is or will be governed by an agreement between the research sponsor and the University, a student shall agree with the University with regard to assignment of invention rights in a manner consistent with the underlying agreement with the sponsor. The student shall have the option to agree to such assignment or to decline to participate in the sponsored research project.

The specific arrangements itemized above represent attractive options that both protect University equity and return a benefit directly to the University research enterprise. In addition to these arrangements, other mechanisms whereby external research sponsors provide support for the University's educational mission in exchange for waiver of patent rights may also be acceptable (e.g. establishment of student fellowship programs, contributions to the University endowment fund, support of endowed chairs, etc.).

Any such arrangements which protect the University's interest in patents emerging from privately sponsored research will be made with the full knowledge of the principal University faculty researchers that are involved in the project. Such arrangements will also be explicitly stated in agreements and/or contracts established between the University and the external sponsor. All such contracts will be promptly reported to the Board of Control.

2.5 Inventions Made Under the Terms of University Off-Campus Developing Agreements

2.5.1 Saginaw Valley State University, or separate academic units within the University, may elect to establish Off-Campus Developing Plans whereby the professional, scientific and technical expertise of University personnel can be mobilized to provide applied research, testing and evaluation or other technical services for external organizations. The University will have right of first refusal to

hold the title to all inventions developed under the terms of University Off-Campus Developing Agreements, unless there is prior waiver of University rights to patent ownership as provided for in Section 2.3.

2.5.2 The University is affiliated with a "business enterprise" entity that is an entity separate from the University. The business enterprise, from time to time, is interested in working with students to bring inventions into the commercial arena. If the student has an interest in working with the University as it interfaces with the business enterprise, then the student shall make such interest known to the University and a negotiated arrangement may be undertaken with the business enterprise, through the University, at the option of the University and the business enterprise.

3.0 LICENSING ARRANGEMENTS

Saginaw Valley State University will actively seek to license all patents to which it holds title. By mutual agreement with the inventor, the University may also license or arrange for licensing of patents owned by individuals who are employees of the University. The President may determine whether, under certain conditions, the University will contract with a competent agency or firm for the licensing of certain patents. The University President will also determine whether University-owned patents may be licensed to University employees.

The University will cover necessary costs leading to licensing of patents, and such costs will be prior charge against royalty income.

Disputes which may arise between the inventor and the University in connection with licensing of University-owned patents may be referred to the Patent Committee for resolution (see Section 5.0) at the request of either party.

If the University has not succeeded in licensing an invention within 24 months after patent has issued, the inventor may apply for reassignment of the patent. As a condition to reassignment, the inventor is required to reimburse the University for all sums expended by the University in filing and maintaining the patents. The University may wish to retain the patent at this time. However, none should be held by the University without good reason.

3.1 Exclusive Licenses

The University President may grant an exclusive license for use of University-owned patents to an external organization or to a University employee. Exclusive licenses typically will be granted for periods of ten years: they may include provisions for an option to renew the exclusive license for the remaining term of the patent. In all cases where an exclusive license is granted for a limited period, the University will retain an option to revoke the license in the event that the licensee does not demonstrate due diligence in the exercise of the license.

3.2 Royalty-Free University License

In keeping with the University's mission to broadly disseminate new knowledge, and to protect the public interest, the University President will have the authority to retain royalty-free license rights for use of University-owned patents by the University.

4.0 ROYALTY DISTRIBUTION

All Saginaw Valley State University patents which are licensed to external users or to University employees will return to the inventor and to the University an equitable royalty income. Gross royalty income returning to the University will first be used to repay all costs associated with patent obtention and maintenance fees, licensing, legal work, and other expenses related to patent application, marketing and licensing.

Following the deduction of such expenses, net royalty income will be distributed among the inventor(s) and other University units and the University according to the following formula:

Net Income	Inventor	Originating University <u>Unit(s)</u>	University
Up to \$10,000	75%	15%	10%
Next \$90,000	50%	15%	35%
Next \$900,000	40%	15%	45%
Over \$1 million	35%	15%	50%

The inventor's share of royalty income shall be fixed according to the above formula. Modification of this formula with respect to the share allocated to the Originating University Units and the University shall require approval of the Board of Control following the recommendation of the University President.

The inventor's share of net royalty income returning from patents involving more than one inventor will be divided equally among the inventors unless a written request to provide for some other division is signed by all inventors and filed with a designated University office thirty (30) days prior to the first payment of net royalties.

At least a substantial portion of the University's share of net royalty income will be used to support and stimulate further research, invention, patent development and technology transfer. Originating University Units will also utilize at least a substantial portion of their share of royalty proceeds to support research programs.

In the event that an inventor (or co-inventor) terminates his/her employment at Saginaw Valley State University for any reason, the inventor's share of royalty income will continue to be paid to that inventor (or co-inventor). If an inventor (or co-inventor) dies, the inventor's share of royalty income will be paid to the heirs and beneficiaries of the deceased. Upon employment termination, or in the event that an inventor (or co-inventor) dies, the University's share of royalty income will continue to be paid to Originating University Unit and University accounts at Saginaw Valley State University. The Originating University Unit and University shares of royalty income may not be transferred to any other institution, organization or individual in the event of an inventor's (or co-inventor's) employment termination or death.

Furthermore, any equipment or other resources purchased from the Originating University Unit or University shares of royalty income will remain the property of Saginaw Valley State University, and will not be transferred to another institution, unless written approval of such transfer is obtained from the University President.

5.0 PATENT COMMITTEE

If there is a question as to the ownership of an invention or patent under the provisions of Section 2.0., the matter shall be referred to a committee of four (4) persons from the University community, not including the chair, to be named by the President of the University. The committee shall be chaired by the SVSU Patent Policy Administrator or his/her designee. Two of the Committee members shall be members of the academic faculty of the University selected by the President.

The Committee shall make a careful investigation of the circumstances under which the invention was made in a hearing which may include professional representation and testimony for either side in the dispute, and shall transmit its findings and recommendations to the President for final decision. The hearing shall be closed to the public unless both sides mutually agree to waive this right.

Disputes pertaining to the licensing of University-owned patents under Section 3.0 shall also be referred to a Patent Committee. The Committee shall review efforts to license the invention and transmit its findings and recommendations to the President for final decision.

Disputes pertaining to the waiver of University patent rights shall be referred to the Patent Committee at the request of the employee. The Committee shall review all aspects of the specific disputes under the same conditions as described about and transmit its findings and recommendations to the President for final decision.

6.0 OTHER PROVISIONS

6.1 Private Consulting

Consulting activities by employees of Saginaw Valley State University are subject to regulations under existing statutes, contractual agreements and such executive orders and administrative regulations as may be issued to implement existing statutes.

6.2 Waiver of University Patent Rights in consulting or Temporary Employment Arrangements

The increasing necessity for complex relationships among universities, private industry and the public sector has heightened national sensitivity to the potential for conflicts of interest which may arise when university personnel are engaged in fee-for-service or equivalent activities with external organizations. Saginaw Valley State University wishes to encourage and promote university-industry linkages and the emergence of new technology-based industries, while simultaneously avoiding real or perceived conflicts of interest which might ultimately impair the University's basic mission and detract from its role in society. As a constitutionally autonomous public institution, Saginaw Valley State University has an obligation to ensure that its officers, faculty, staff and others acting on its behalf avoid ethical, legal, financial, or other actual or perceived conflicts of interest, and to ensure that the activities and interests of such individuals do not conflict with their obligations to the University or its well-being. The guidelines set forth in this Section are intended to preserve and protect the University's mission and social obligations, to maintain institutional independence and integrity, to assure impartiality and to keep the public trust.

Full- and fractional-time employees who enter into private consulting relationships or temporary employment arrangements with private external organizations which require

Appendix Four: Patent Policy

employee assignment of patent rights to the external organization shall inform the University of this required assignment. The University, upon verifying the appropriateness of the consulting relationship or temporary employment arrangements, will inform the employee in writing that the University has no objection to the assignment of patent rights to the external organization in question, except under provisions described in Section 6.3, or where either of the following conditions apply:

1. The employee is a stockholder, officer and/or member of the governing board of that organization (see Section 7.0); or

2. The employee is permitted, via contractual agreement, to realize significant financial gain (i.e., monetary return that exceeds a nominal or token amount) as a result of patents assigned to external organizations.

Waiver of University patent rights under conditions described in Section 6.2 (1 and 2) shall be conditional upon a review of the potential for conflict of interest in each individual case, and a determination by the University President that such conflicts do not exist or can be avoided through special arrangements.

All requests for waiver of University patent rights under this subsection shall be approved or disapproved within ten (10) working days following receipt of the request. Disapproval by the University President of a request for waiver of patent rights shall be accompanied by a written explanation. Denial of University patent right waiver under this subsection (6.2) shall be referred to the Patent Committee at the request of the employee, for determination as provided for in Section 5.0.

6.3 Waiver of Patent Rights During Consulting While Participating in a Federally-Sponsored Research Program

Public Law 96-517. "The Patent and Trademark Amendments of 1980," grants to universities the right of first refusal to hold title to inventions emerging from federally sponsored research projects (see Section 2.3.). Employees who receive federal support for research are obligated to protect the public interest in federally sponsored research by honoring the first refusal rights of Saginaw Valley State University. Working with an external organization in the same general research area as work being supported by federal funds and administered through the University does not necessarily constitute a conflict of interest. However, in situations where an employee is engaged in federally sponsored research in a specific research problem area and expects to enter into a private consulting arrangement in that same specific research problem area, and where, in the judgment of the employee, there is a reasonable likelihood that inventions or ideas which emerge in the course of the federally sponsored project might be "reduced to practice" or otherwise developed during the consulting relationship, it shall be the duty of that employee to request a written waiver of University patent rights before assigning patent rights to the private external sponsor of the consulting relationship. Saginaw Valley State University will normally grant such requests for waiver of patent rights provided that the proposed consulting relationship: (1) follows established guidelines for faculty/employee consulting; (2) does not constitute an actual conflict of interest; or (3) does not fall under the provisions of Section 6.2. Requests for waiver of University patent rights described in this subsection will be reviewed and acted upon within twenty (20) working days following their receipt by a designated University office.

6.4 Restrictions on the Public Dissemination of Knowledge

Saginaw Valley State University will not enter into sponsored research agreements and/or contracts which include provision for assignment of patent rights to the external sponsor if such agreements totally preclude publication and/or dissemination of research results gathered during the course of the sponsored program. All exceptions to this policy will require prior approval by the Board of Control following the recommendation of the University President.

The University President will have the authority to approve sponsored research agreements and/or contracts which require a reasonable delay in the public dissemination of research results, including a reasonable delay in publication, the delivery of lectures or seminars, and the release of other materials or devices which broadly disseminate information. For purposes of this policy, a reasonable delay is defined as a delay of no more than one year following submission of publishable material tot he research sponsor, or six months following termination of the research contract, whichever time period is of shorter duration.

6.5 Administration and Procedures

Administrative systems and procedures to implement this policy shall be issued by the President of the University.

7.0 DEFINITIONS

<u>Application (patent)</u> - Complete papers submitted to the Patent and Trademark Offices of the United States and other foreign countries for the purpose of seeking a patent, including specifications, claims, drawing and the filing fee.

<u>Assignment</u> - Written contract that transfers title to and interest in an invention, patent or patent application. An assignor conveys title, an assignee receives title.

<u>Consulting</u> - See definition in policy establishing the University's consulting policies and executive orders implementing those policies.

<u>Disclosure</u> - Written description explaining the novelty, utility and explanation and importance of an invention to another person. The term also refers to the drawings and descriptions furnished with the patent application and found in the patent.

<u>Exclusive License</u> - An agreement granting to one party sole (exclusive) rights to use an issued patent, with the licensor giving up rights to offer or give a license on that patent to any other party.

<u>Invention (patentable)</u> - A novel and useful solution to a problem, including solutions relating to processes, machines, articles of manufacture or compositions of matter. The invention, which may embody a new idea or the improvement of a pre-existing approach, is generally not obvious to a person skilled in the pertinent art.

<u>License</u> - An agreement granting to another party the right to make, use and sell a patented invention without the transfer of title to the patent. A licensor grants the license; a licensee receives the license.

<u>Net Royalty Income</u> - Gross royalties minus direct costs of patent obtention and maintenance fees, licensing, legal work and other expenses related to patent application, marketing and licensing.

<u>Non-Salaried Personnel (or other individuals affiliated with the University</u>) - Includes but is not limited to, hourly personnel, part-time, volunteer, adjunct, co-operating and visiting faculty, as well as undergraduate, graduate and postdoctoral students.

<u>Off-Campus Developing Plan</u> - An arrangement between an employer and professional personnel which specifies terms and conditions under which those personnel may receive compensation for external consulting activities. Frequently, practice plan arrangements provide some organizational services to support remunerated external activities, in exchange for which professional personnel return some specified portion of their remuneration to the employer.

<u>Part-Tim0e Employee</u> - Personnel employed for less than full-time at Saginaw Valley State University or under contract employment with SVSU are considered to hold part-time service positions.

<u>Public Disclosure</u> - Any disclosure in a form which is readily accessible or distributed to the public including presentations at technical conferences, publications in professional journals, news releases, news interviews, etc.

<u>Report of Invention</u> - Written description explaining the general nature of an invention to another person. The report should include the approximate date or time during which the invention was developed, and the general circumstances surrounding the development of the invention.

<u>Royalty</u> - Payment to the owner of an invention for use of that invention, frequently in the form of a state percentage of sales.

<u>Royalty-free</u> - A license wherein the licensee is not required to pay royalties to the owner of an invention under specified conditions.

<u>Stockholder</u> - For purposes of this policy, stockholder shall be defined according to guidelines established by the State of Michigan Conflict of Interest Statute, i.e., ownership of more than one percent of the total outstanding stock of any class where such stock is not listed on a stock exchange, or stock with a present total market value in excess of \$100,000 where such stock is listed on a stock exchange.

<u>Unpatentable</u> - An invention not involving sufficient departure from preexisting knowledge in the art, or that for some reason is not the proper subject matter of a patent, but may nonetheless comprise a valuable trade secret.

<u>U.S. Patent</u> - A grant from the U.S. Government giving the owner of an invention the right to exclude all others from making, using, or selling the invention within the United States, its territories or possessions, for 17 years. Patent protection in a foreign country is governed by the individual patent laws of that country.